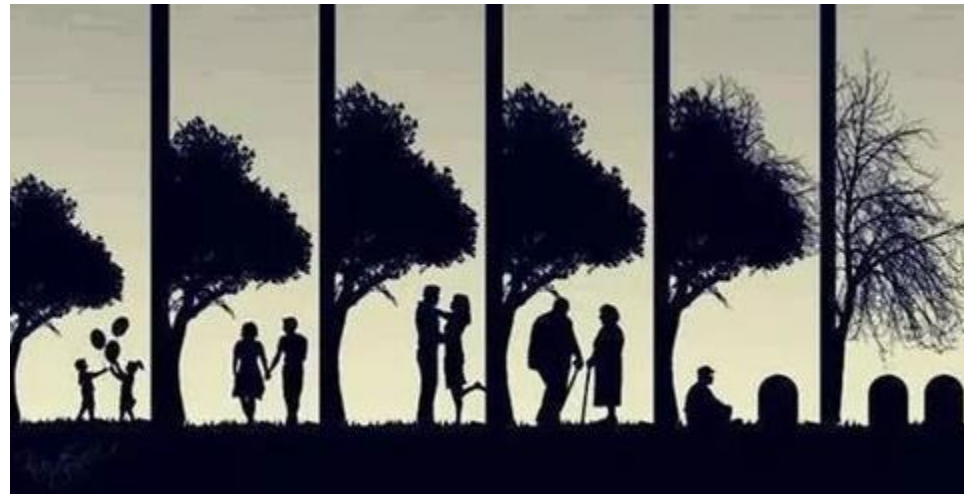
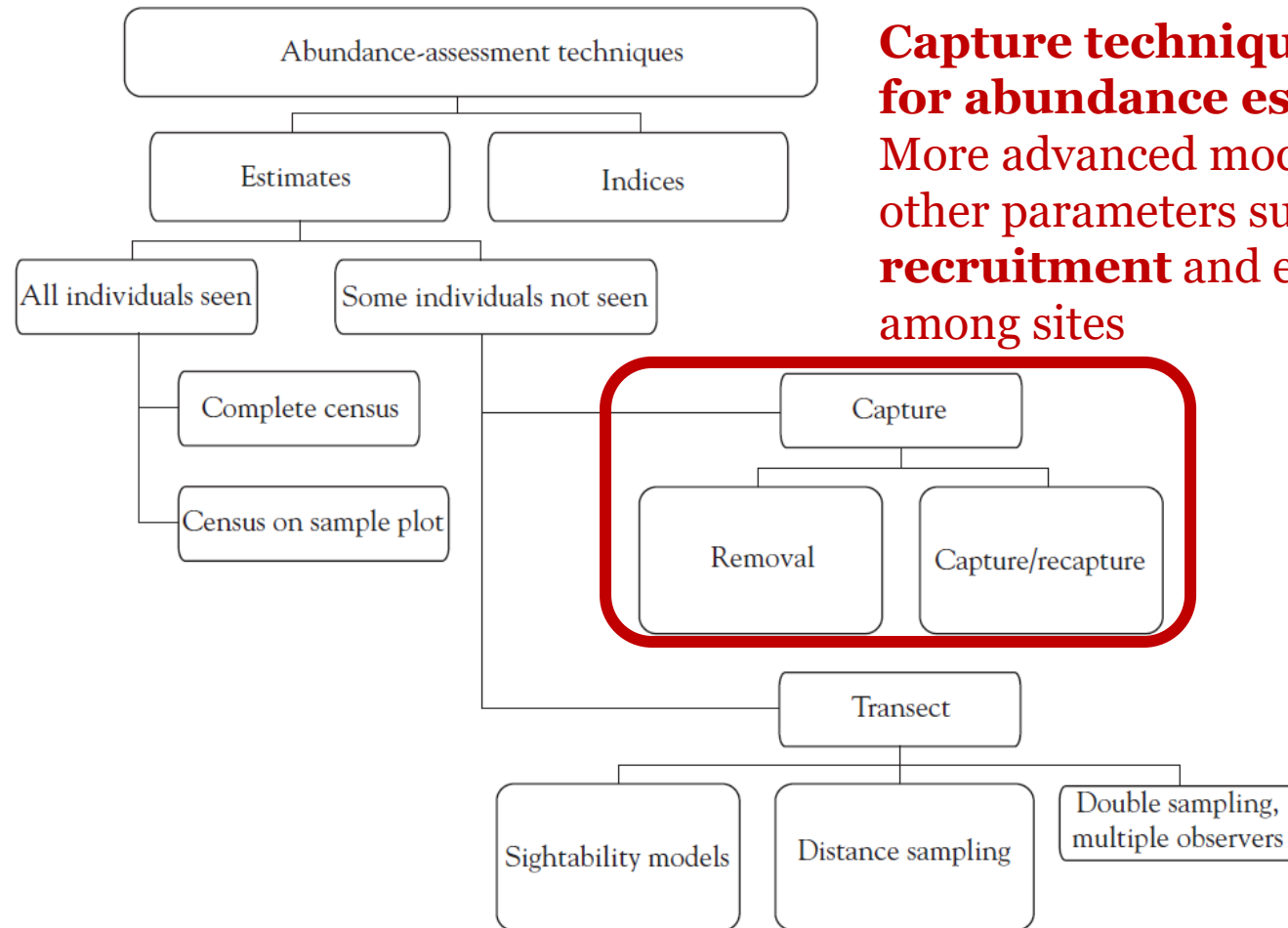


Wildtierkundekurs II

Theory 3: Survival



Capture related methods: survival & reproduction



Capture technique not only used for abundance estimation!
More advanced models can estimate other parameters such as **survival**, **recruitment** and even movement among sites



Estimating Population parameters

Abundance and Density

Survival

capture recapture models (e.g. Cormack-Jolly-Seber)

band recovery models (e.g. multi-state models)

known fate models (e.g. Kaplan-Meier)

Recruitment

reproduction

sex ratio

age structure

Survival in wildlife management and conservation

- *environmental influences on survival*
- *evaluation of population “health” (low survival=problem?)*
- *population viability analyses (e.g. estimation of growth and extinction parameters)*

Survival



Study of survival informs management and conservation

- *formulating and implementing management of conservation plans*
- *evaluating the efficacy of management strategies*

Wildlife populations



Estimation of animal survival

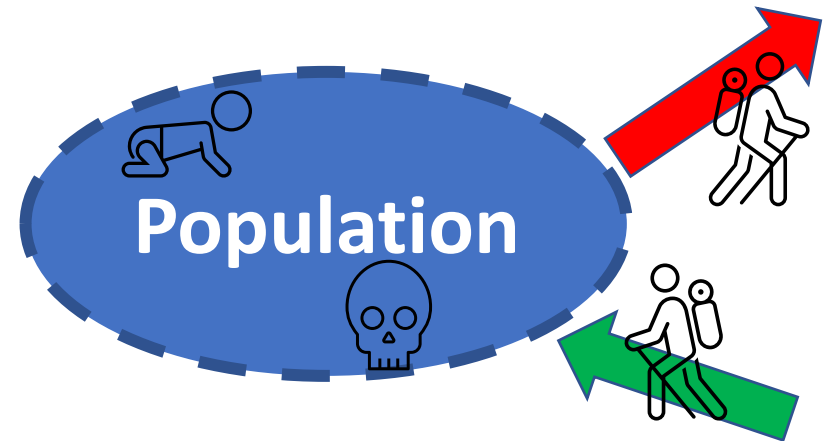
3 main classes of survival estimators:

- **CMR** → survivors are recorded
- Band recovery models → deaths are recorded
- Known fate models → animals can be relocated

Open population CMR models

Assumption of closed population is difficult to fulfill for many studies
→ capturing continues over extended time periods

Open population models deal with the problem that after some time, animals might have been born, immigrated, emmigrated or died (i.e. detection probability would be biased!)





Open population CMR models

Survival → difference between number of animals marked alive just before $i+1$ and number alive immediately after time i

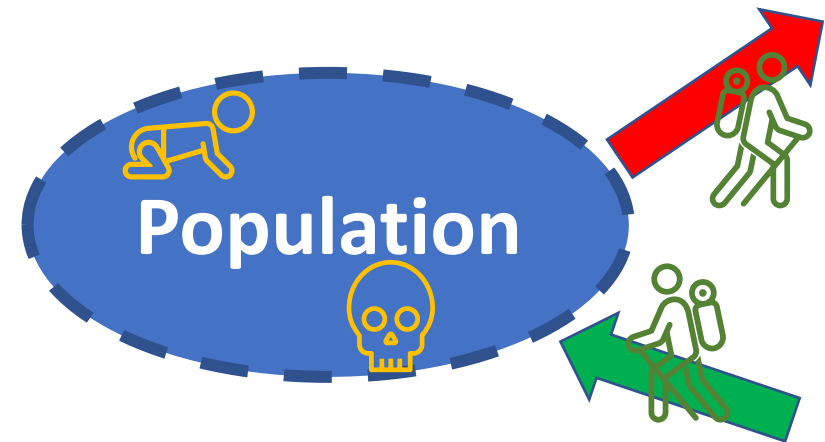
Recruitment → difference between estimated population size at time $i+1$ and expected number of survivors at time $i+1$ of the population size at time i

Note: only so called «**apparent**» **survival** and «**apparent**» **recruitment** can be estimated, as we can't distinguish **animals dying** from **animals emigrating** or **animals being born** from **animals immigrating** → but see robust design next.

Open population CMR models

Jolly-Seber (JS) open CMR models and its variants estimate **abundance**, **survival** and **recruitment**

Cormack-Jolly-Seber (CJS) open CMR models and its variants estimate **survival** of population



Mills 2006

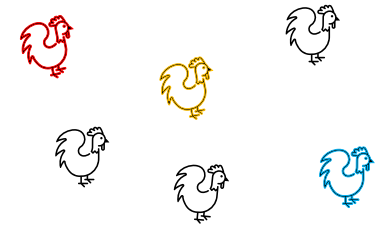
Open population CMR models

k-sampling occasions (where $k > 2$)

The **capture history** of each animal has to be recorded.

Because of possible deaths or emmigration the number of **marked animals alive before each capture session** must be estimated.

To estimate this number, we use information from animals captured BEFORE and AFTER but not during sampling occasion i .



Revisiting capture histories for open populations

Capture histories contain information on survival as well



H1 [1,1,0,0,0,1,0,0,1,0,1,0] $\rightarrow p_1 \phi_1 \times p_2 \phi_2 \times (1-p_1) \phi_1 \dots$



H130 [0,1,1,1,0,0,0,0,0,0,0,0] $\rightarrow \dots$ probably dead or emmigrated

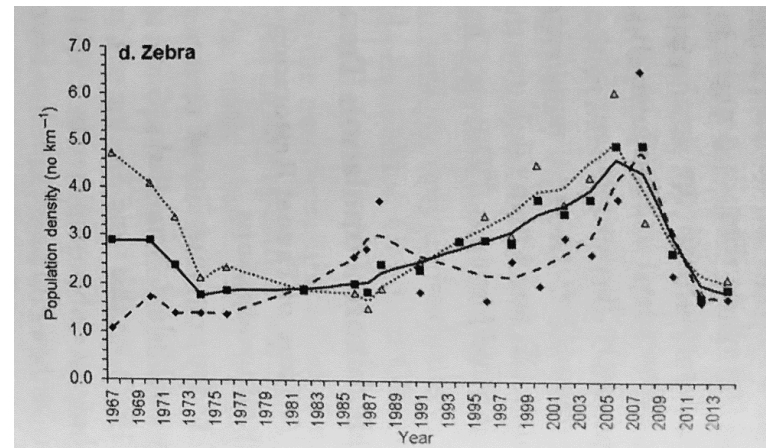
Open population CMR models

Assumptions:

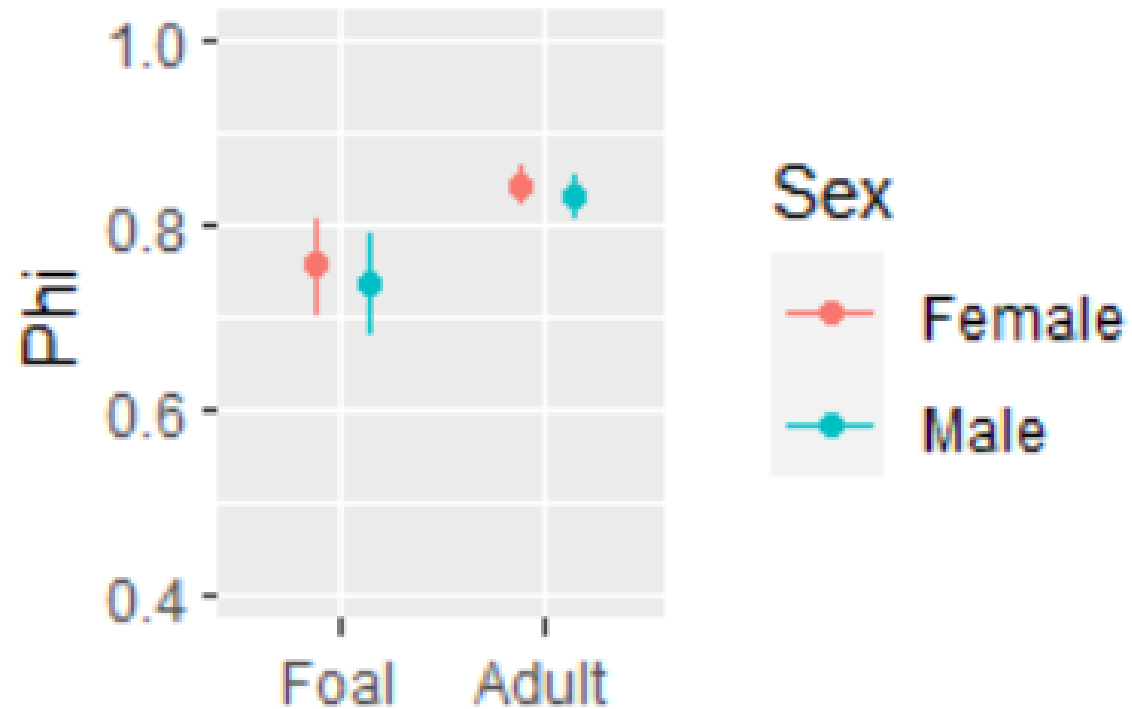
- Equal catchability
- No effect of marking
- Animals do not lose marks
- All marks are seen and recorded
- Equal survival: Every marked animal has same chance of survival from time of capture i to occasion $i+1$



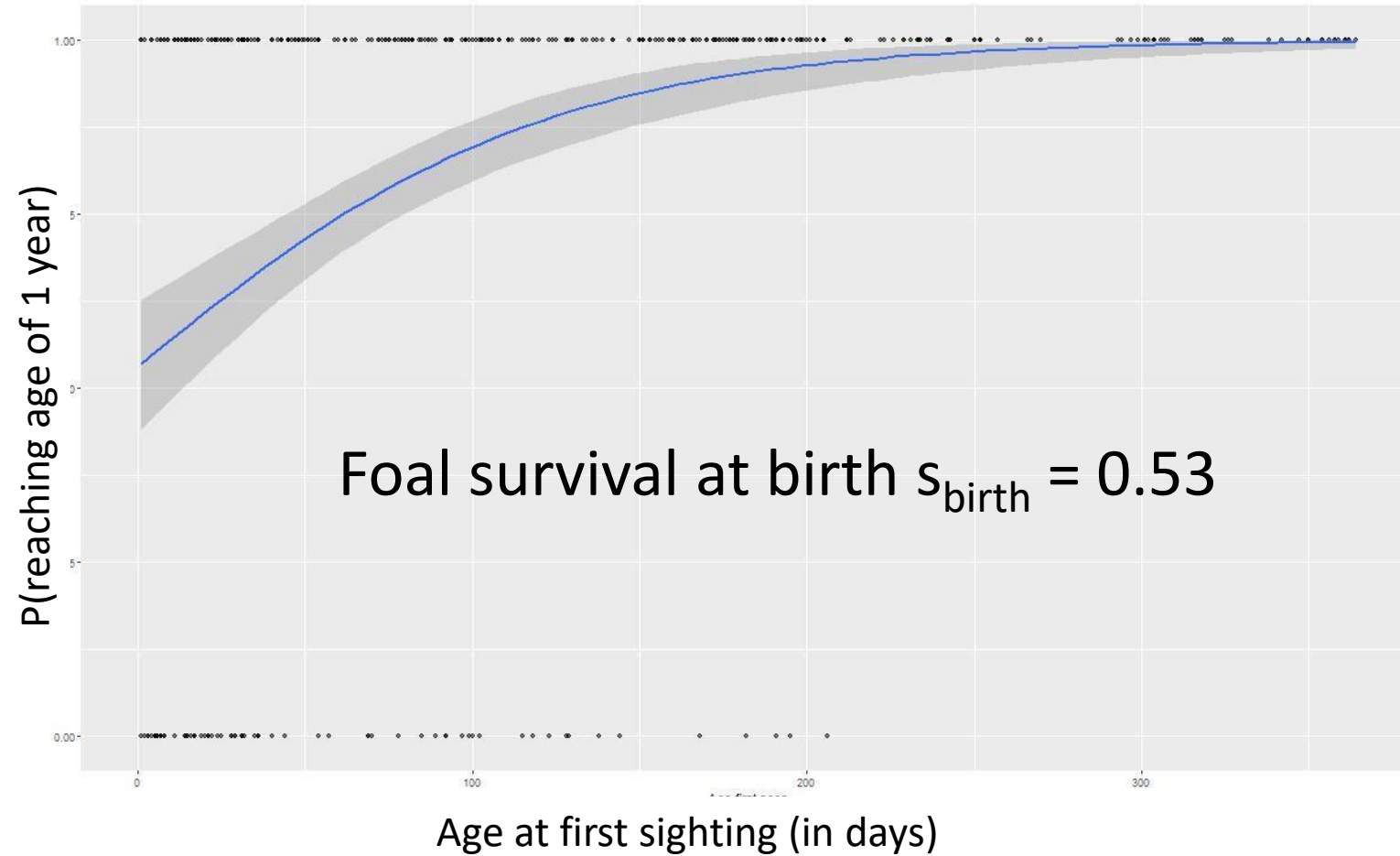
Example of Cormack-Jolly-Seber model



Example of Cormack-Jolly-Seber model



Zebra foal survival in HiP





Estimation of animal survival

3 main classes of survival estimators

- CMR → survivors are recorded
- **Band recovery models → deaths are recorded**
- Known fate models → animals can be relocated

Band recovery models

- Band recovery models are closely related to CMR models but instead of recapturing animals alive, marked animals found dead are recovered.
- Not all dead animals will be found → instead of detection probability of animals alive, the dead-recovery probability has to be modelled.
- Unknown parameters are **recovery probability** and **survival**.
- These models are best known from ringing schemes for birds.



Example of band recovery model

Long-term roe deer fawn marking study orchestrated by Wildtier Schweiz

50 years of fawn marking, 20'000 fawns marked, over 4'000 animals recovered with reported death cause

Possible to estimate survival and cause-specific mortality

→ information can be used for modelling population dynamics



Mowing related deaths

Thousands of fawns are killed every year...





The fawn marking project

Hunters and farmers work together to find and rescue fawns...



The fawn marking project





Wildtier
Schweiz

The fawn marking project

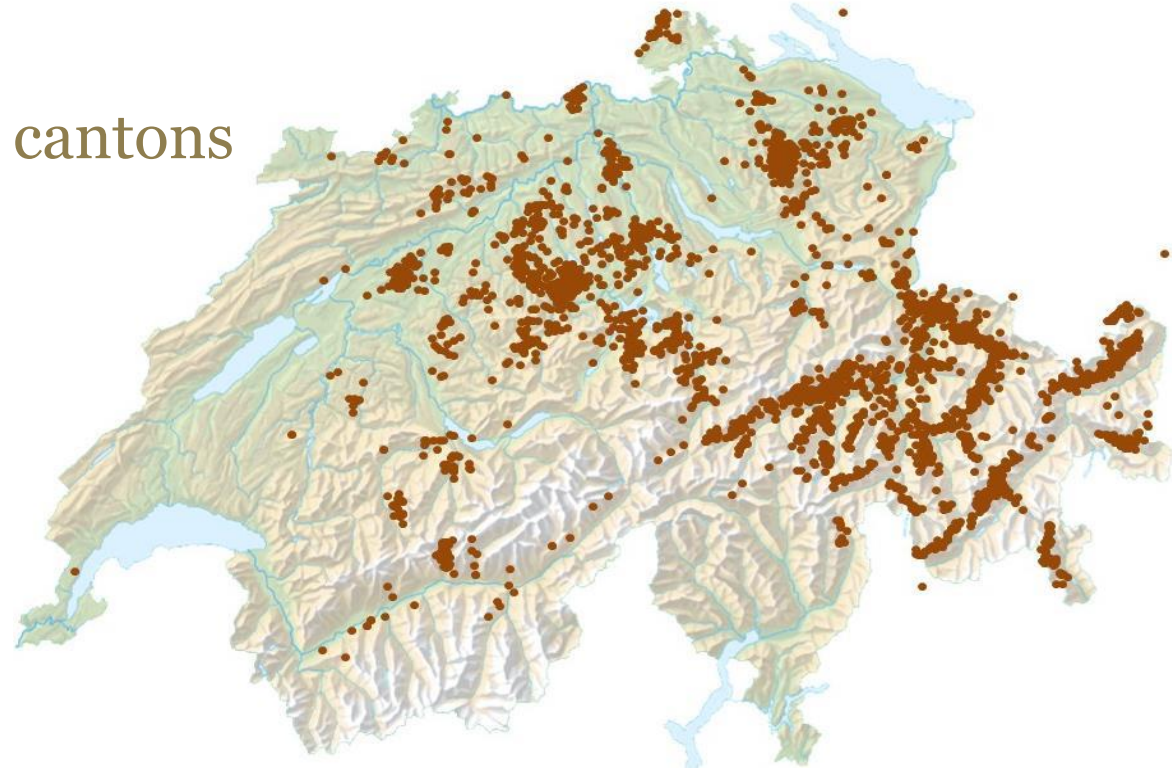
Since 1971

With the participation of 22 cantons

18'294 were marked,

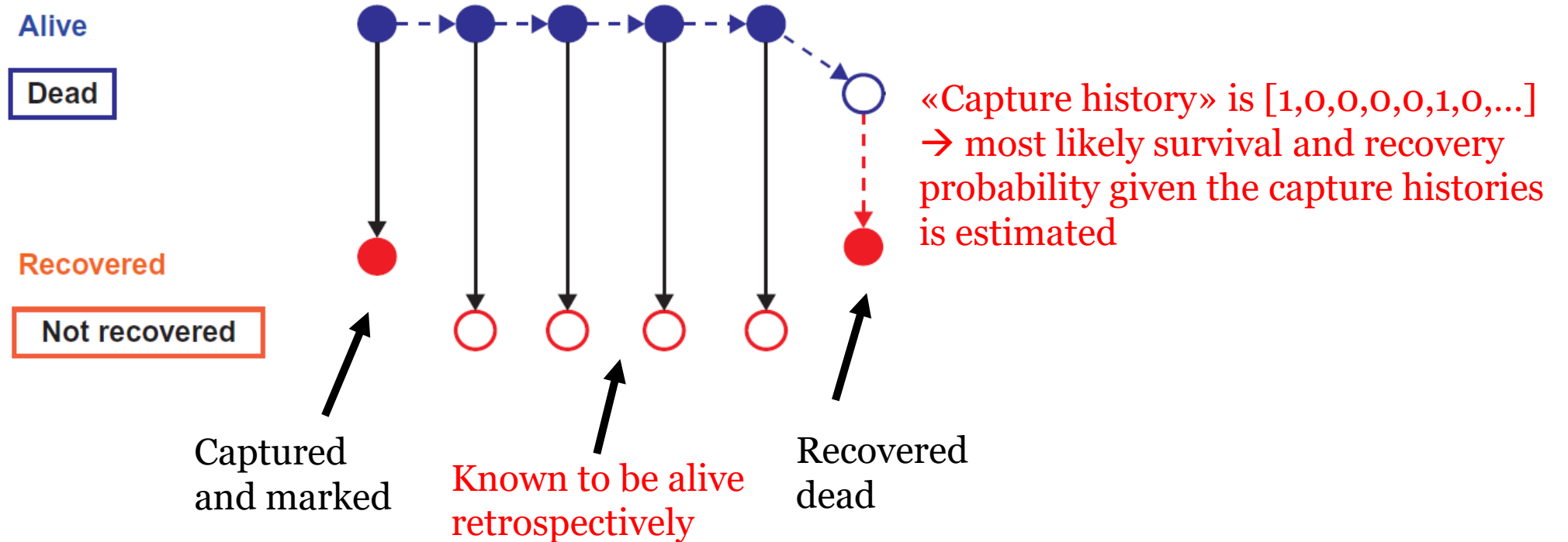
Of which 3901 recaptured

(21%)



Band recovery models

Adapted from Kery & Schaub BPA 2011

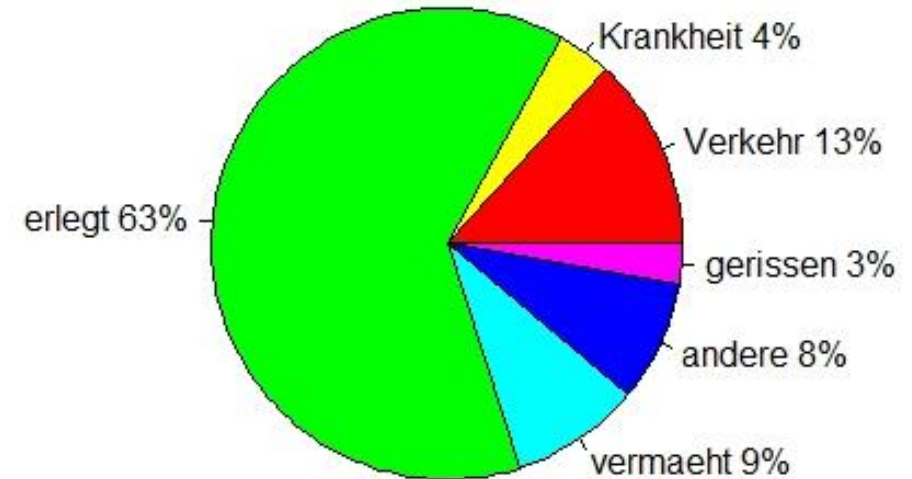


Results in a «capture history» similar to CMR models → holds information on survival and recovery probability

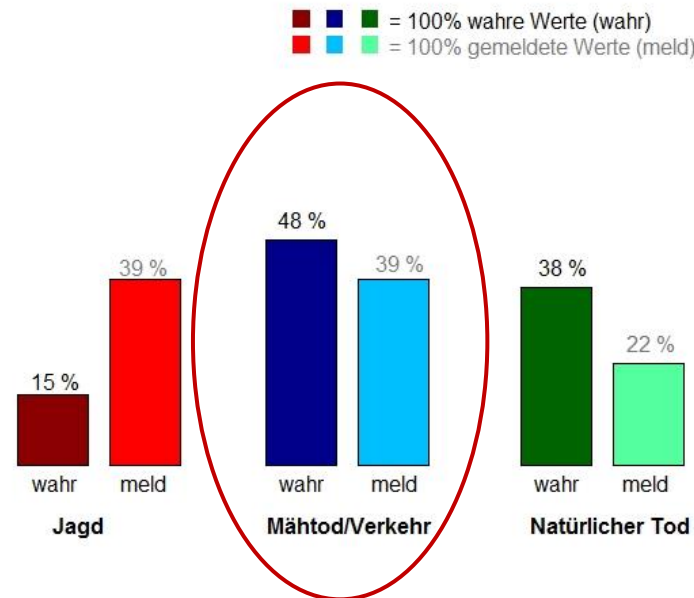
Example of band recovery model

Capture histories allow modelling **unbiased** cause-specific mortality

Example: Estimate unbiased mortality using long-term fawn mark-recovery data:



Example of band recovery model

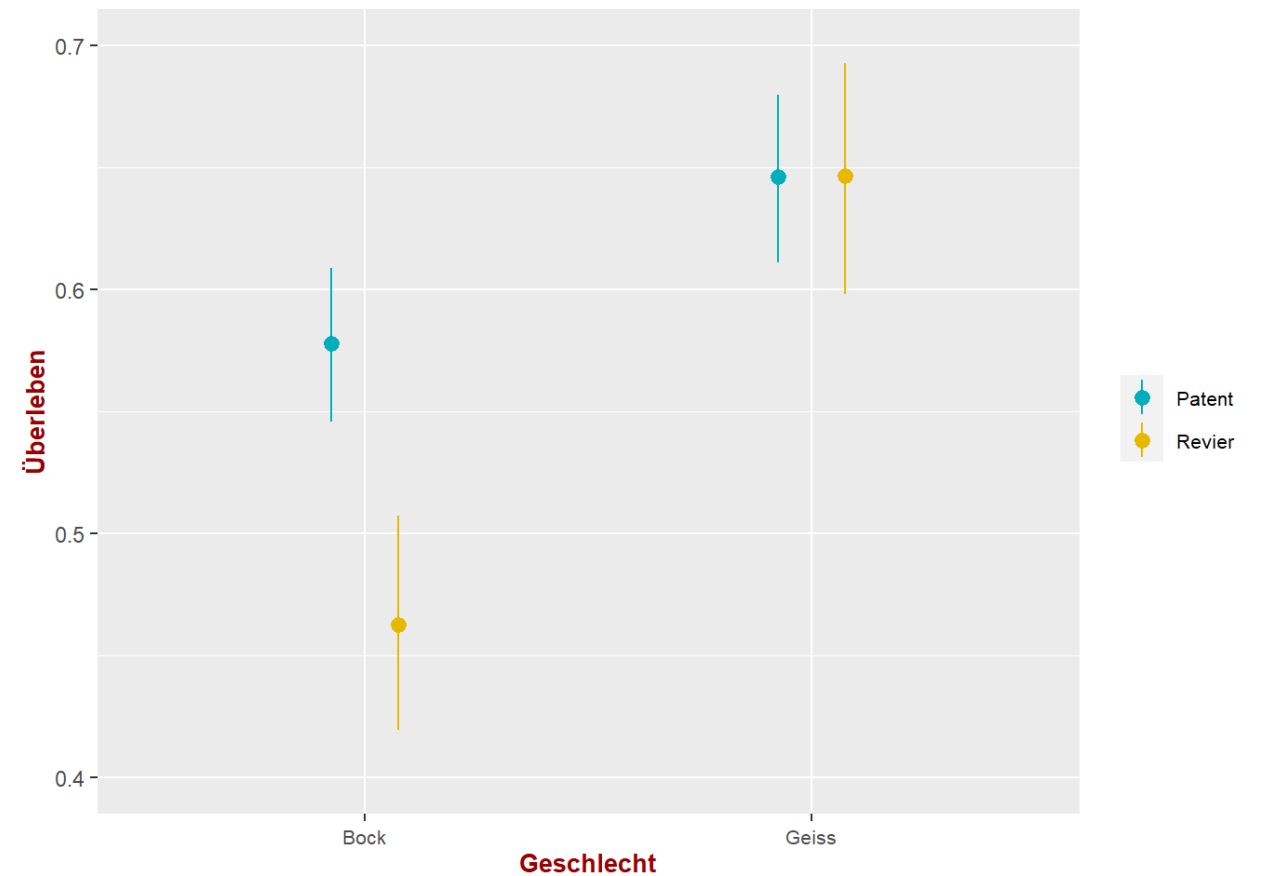


estimated 13% of all marked fawns are killed in mowing accidents

Example of band recovery model

Compare Revier & Patent Cantons

- *Male survival differs among the two systems*
- *Femae survival is similar among systems*





Estimation of animal survival

3 main classes of survival estimators:

- CMR → survivors are recorded
- Band recovery models → deaths are recorded
- **Known fate models → animals can be relocated**

Known fate survival models

Principle: you mark a cohort of animals and follow their fate.

Assumptions:

- **no animals** are **lost** during the study or **added** after the study start
- Possible to follow animals closely using telemetry or similar techniques
- All animals have same survival probability and are monitored for the same period of time
- Fates of animals are independent

Known fate survival models

Then survival for a given time interval is simply a binomial model (tossing a coin with death on one side and survival on the other):

Survival = x surviving animals relative to total number n

$$\hat{S} = \frac{x}{n}$$

binomial variance

$$\text{var}(\hat{S}) = \frac{\hat{S}(1-\hat{S})}{n}$$

Adjusted finite survival rates

Often one is interested in **standardized survival times** such as annual survival, or monthly survival. These times may not coincide with estimated study survival (S_0). Estimated survival times can be easily converted:

Adjusted finite survival rate = $(S_0)^{t_s/t_o}$

where t_s = standardized time interval (e.g., 28 days)

t_o = observed time interval (e.g., 35 days)

Example of adjusted finite survival rates

We observe a finite survival rate (S_0) for hare leverets of 0.067 for 35 days.

($S_0 = 0.067$; $t_0 = 35$ days)

Monthly survival rate ($t_s = 28$ days) is:

$$(S_0)^{\frac{t_s}{t_0}} = 0.067^{\frac{28}{35}} = 0.115/\text{month}$$

Daily survival rate ($t_s = 1$ day) is:

$$(S_0)^{\frac{t_s}{t_0}} = 0.067^{\frac{1}{35}} = 0.926/\text{day}$$





Known fate survival models

In reality, animals are often captured over extended time periods (staggered entry) and some fates may be unknown due to losses (censoring)

- Failure time models can deal with this
- Most popular failure time model is the Kaplan-Meier method

Kaplan-Meier method

- Non-parametric survival estimator
→ no assumption on underlying distribution of deaths events
- allows for staggered (i.e. continuous) entry and censoring (i.e. loss) of animals
- For each time period compare number of deaths to number of animals at risk of dying → survivorship curve (proportion of animals alive at time t)

Survival

$$\hat{S}(t) = \prod_{i=1}^t \left[1 - \left(\frac{\text{Number of deaths at time } i = d_i}{\text{Number at risk at time } i = r_i} \right) \right]$$

Variance

$$\text{var}[\hat{S}(t)] = \frac{[\hat{S}(t)]^2 [1 - \hat{S}(t)]}{r_t}$$

Example of Kaplan-Meier method

Study on northern bowwhite quail radio-tagged in North Carolina (Pollock et al. 1989)

week	N at risk	N deaths	No censored	No added	$1 - \frac{d_j}{r_j}$	$S_t = \prod_{j=1}^t \left(1 - \frac{d_j}{r_j}\right)$
1	20	0	0	1	$1 - 0/20 = 1$	1.00

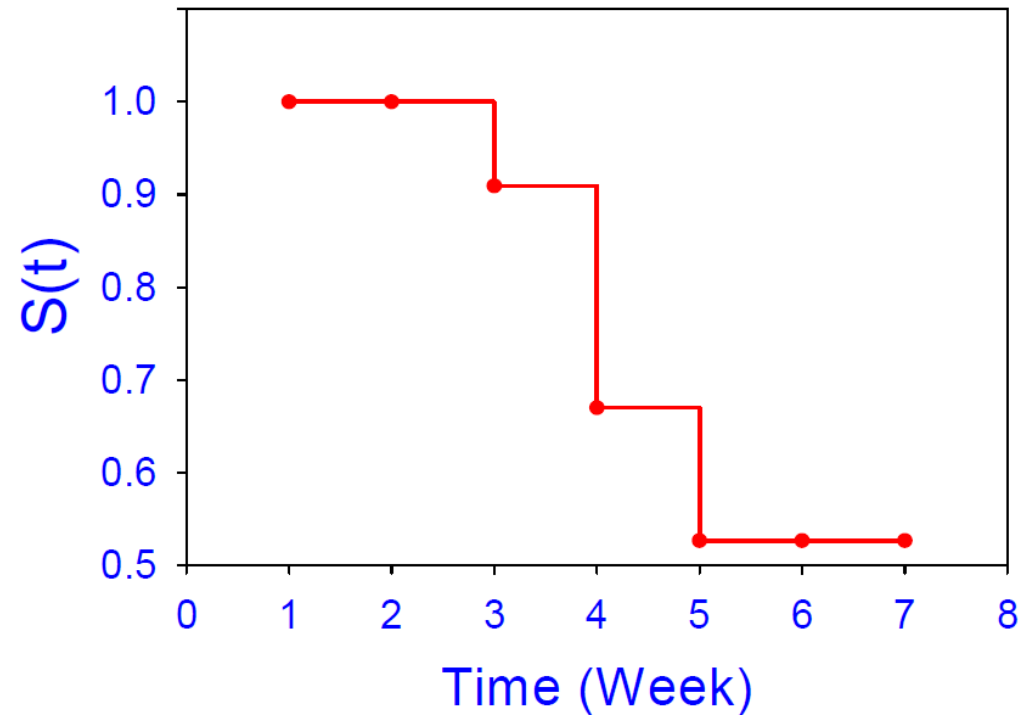
Survival



7 week survival = 0.526 - can be converted to standardized survival times as discussed before

Example of Kaplan-Meier method

Visualization of the Kaplan-Meier survival time results in a step function



Kaplan-Meier method: assumptions

- Marked animals are representative for the population (age, sex, etc.)
- Marks do not affect survival
- Reason for censoring is not related to fate (e.g. marks of poached animals removed will bias survival estimator)
- Fates of animals are independent (e.g. fates of litter mates may not be independent → predator removing entire litters)



Kaplan-Meier method: additional notes

- Precision is a function of nr of animals at risk
 - large sample size is better
- Survival will be biased if animals of higher death risk will die first
 - Staggered entry can account for this – ideally number of animals at risk should remain as constant as possible
 - One can restrict survival estimation to period where number of animals at risk is large (exclude beginning of study and end of study where only very few animals remain – potentially non-random sample in the end)

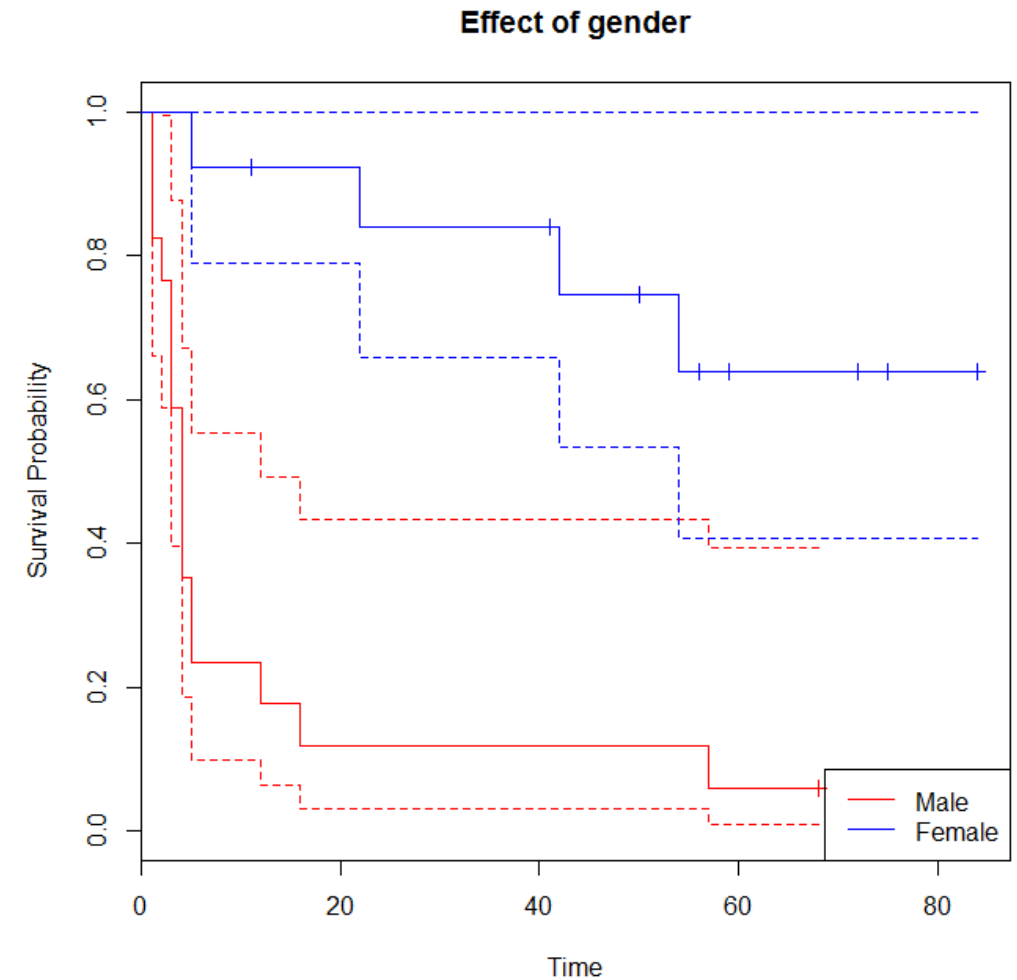




Wildtier
Schweiz

Kaplan-Meier method: additional notes

- Kaplan-Meier method can be extended to test for differences in survival of different groups (e.g. sex, age, etc.)



Kaplan-Meier method: additional notes

- In depth survival modelling with multiple predictors requires more sophisticated models

→ Cox-proportional hazard models (CPH)

CPH-models are the most widely used survival analysis for known fate data sets → multi-variate regression approach

$$h_i(t) = h_0(t) \exp(\beta_1 x_{i1} + \beta_2 x_{i2} + \dots + \beta_p x_{ip})$$

*Hazard →
instantaneous risk of
dying due to
covariates*

*Time dependent baseline hazard
→ form of baseline hazard unspecified*

Time independent covariates

Proportional hazard: $\frac{h_i(t)}{h_j(t)}$ is constant over time

Estimating Population parameters

Abundance and Density

Survival

capture recapture models (e.g. Cormack-Jolly-Seber)

band recovery models

known fate models (e.g. Kaplan-Meier)

Recruitment

reproduction

sex ratio

age structure





Estimating reproduction

Reproduction is an important vital rate.

It determines population growth together with survival and movement.

→ remember BIDE equation:

$$N_{t+1} = N_t + \text{Births} + \text{Immigration} - \text{Deaths} - \text{Emigration}$$

There are different ways of accounting for reproductive output, e.g. offspring per adult female, or female offspring per adult female, or offspring per adults, etc.

→ Important to clearly define what one is talking about

Estimating reproduction

Handling/sampling methods e.g. ultrasound or from dead animals (e.g. harvested) :

- Counting corpora lutea, uterine scars (mammals) or postovulatory follicles (birds)
- Counting embryos (mammals)
- Females, e.g. pregnancy rates from pregnancy hormones in feces or blood samples
- Males, e.g. testis size, sperm counts, etc.

*Reproductive output must be scaled by in utero survival.
e.g. an ovum must be fertilized and implanted to
become a fetus and fetus must survive to birth, etc.*



Estimating reproduction

In the field

- Proportion of population breeding
 - Number of young observed per female
- Again requires scaling, not all animals breeding will conceive, many juveniles die shortly after birth!

In models (focus on net contribution to population growth)

- e.g. CMR models to estimate recruitment



Paternity and Maternity can be established from observations in the field or more reliably with genetic markers

Sex ratio and age structure

Not all animals in a population are equal!

- Individuals contribute differently to population growth depending on sex and age.
- Sex- and age structure of a population have important consequences for long term population dynamics
 - Think about growth of human population consisting of
 - all 50 year old people
 - all children
 - Think about growth of red deer population consisting of
 - 10 males and 100 females
 - 100 males and 10 females

Sex ratio

Sex-ratio is defined as: males per female or vice versa, or proportion of males in the population



Sex-ratio (SR) changes with life stages (due to unequal survival of males and females):

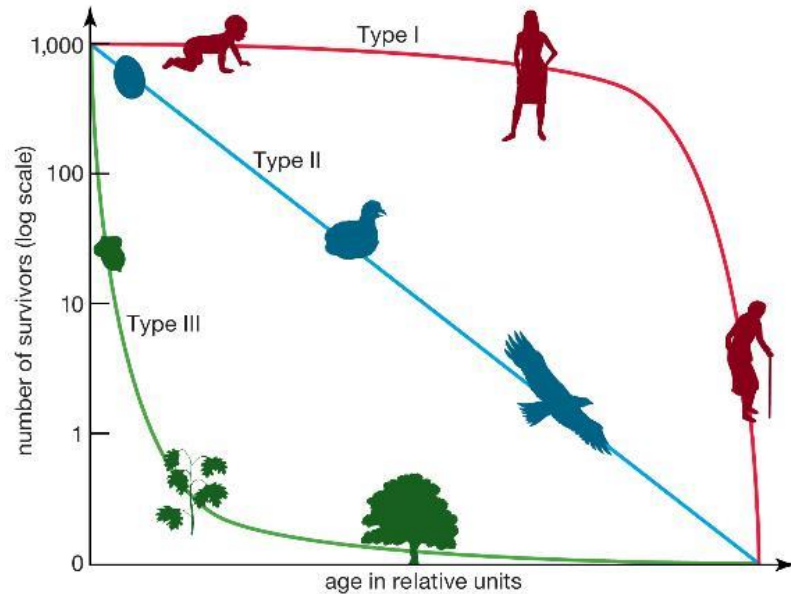
- Primary SR → at conception
- Secondary SR → at birth
- Tertiary SR → later date, e.g. end of parental care
- Quaternary SR → breeding adult population



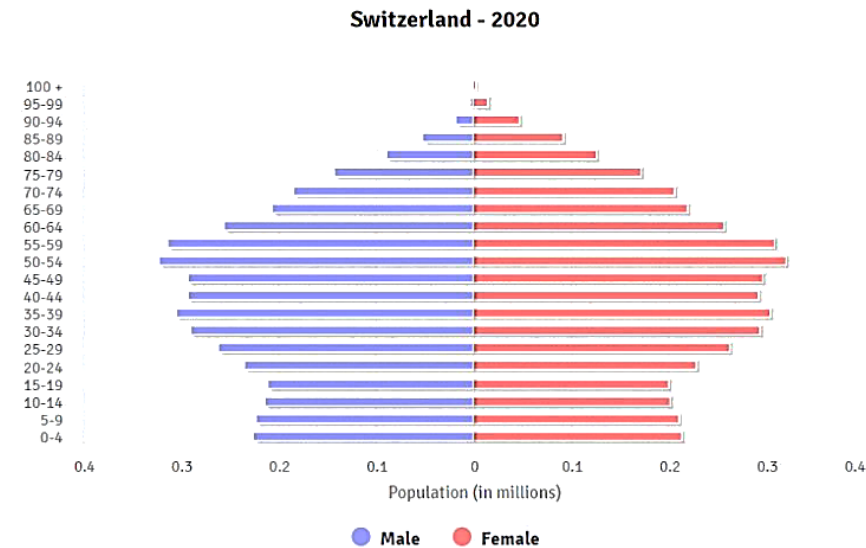
*Breeding sex ratio may be very different from population sex ratio
→ breeding sex ratio in elephant seals may be 1:20!*

Age structure

- Composition of different ages or stages in population
- Result of animals dying over time
- General form of age structure will depend on form of the survival curve



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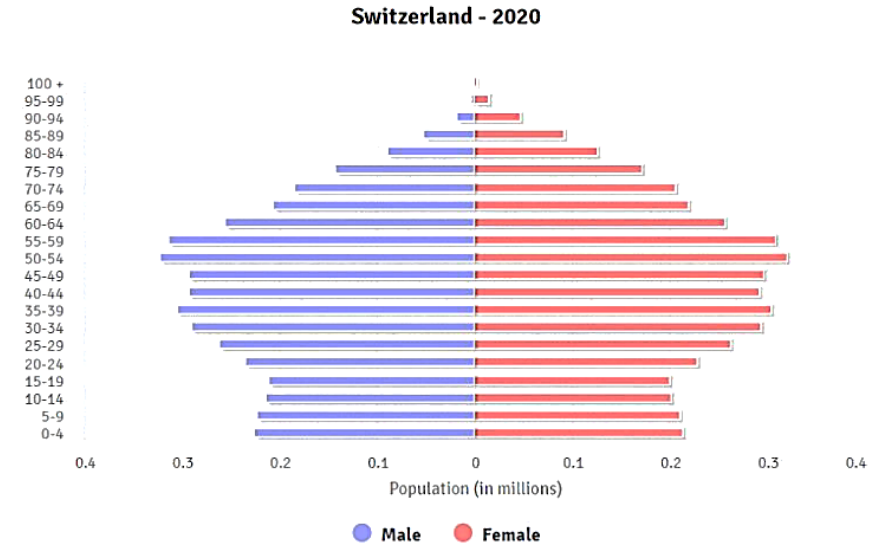
Population age structure

A stable age distribution exists for a constant survival and population growth rate

The stable age distribution will only be reached after some time

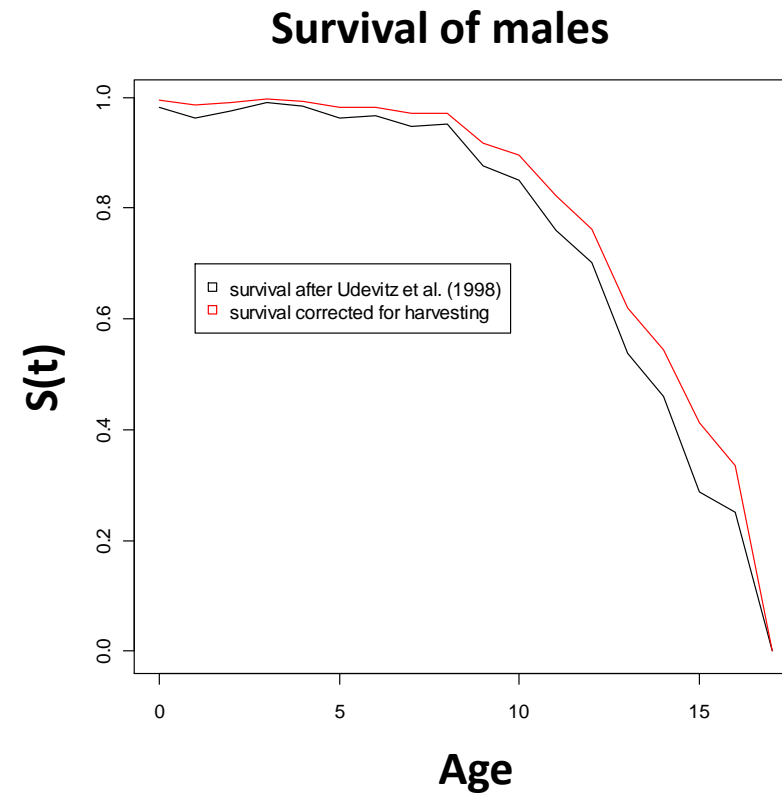
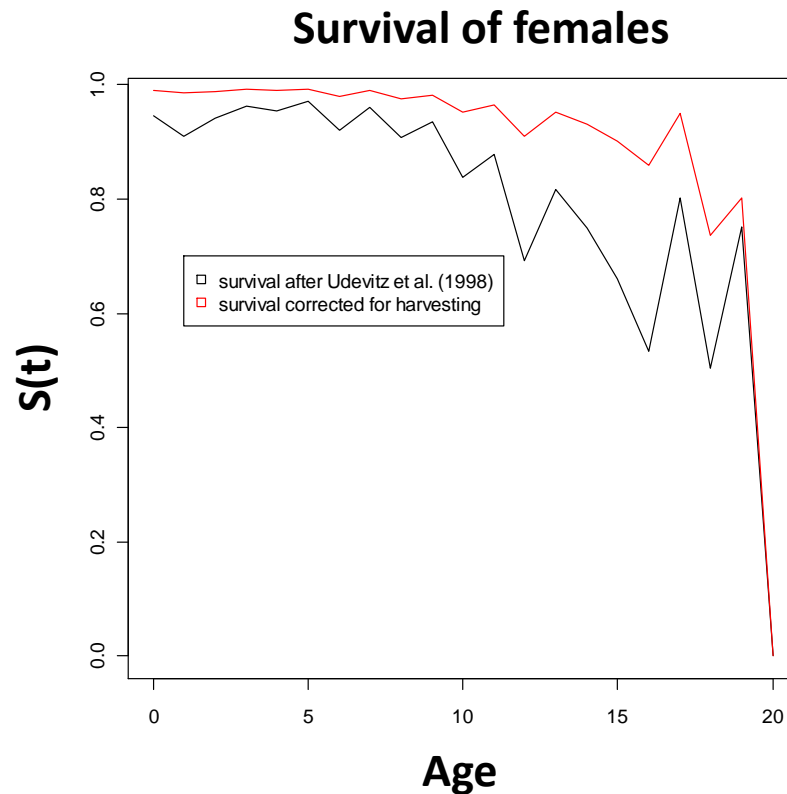
The relationship between *age distribution*, *survival* and *population growth* is the basis for **life table analysis**

→ by knowing two the third can be deduced, e.g. age structure and population growth rate allow calculation of survival



Males and females have different age distributions!

Life table analysis with ibex mortality data



- Interdependence of age structure, growth rate and survival was used to estimate survival corrected for harvesting

Interpretation of age structure

The age structure **ALONE** does **NOT** tell you anything about population growth!

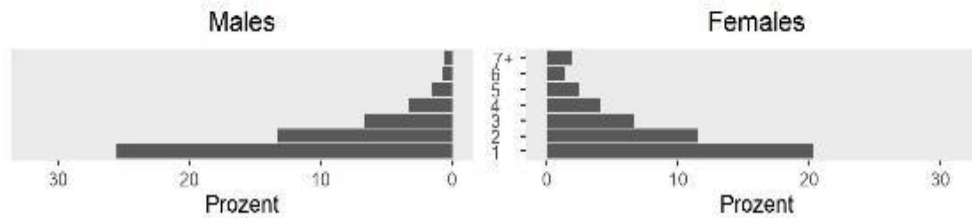
- A decreasing and an increasing population can have the same age structure.
- Populations with different age structures can have the same growth rate.

Even if age distribution is constant you need information about **general survival patterns** of a species to interpret age distributions

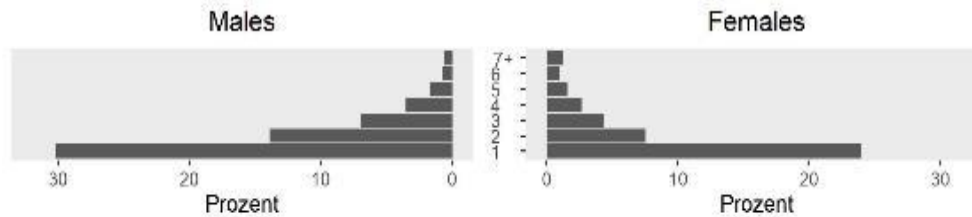
Age structures of animals dead and alive

Data from roe deer marking study 1971-2020

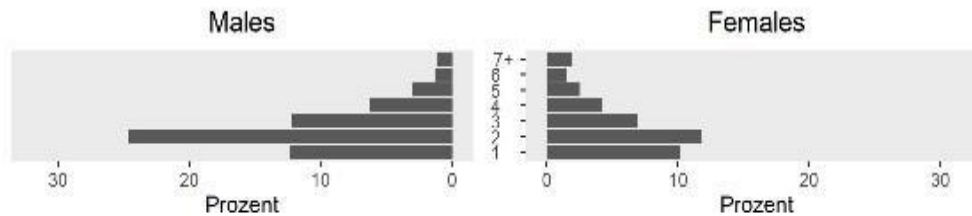
Standing population



Natural deaths



Harvest



Sexes and age classes are not hunted randomly



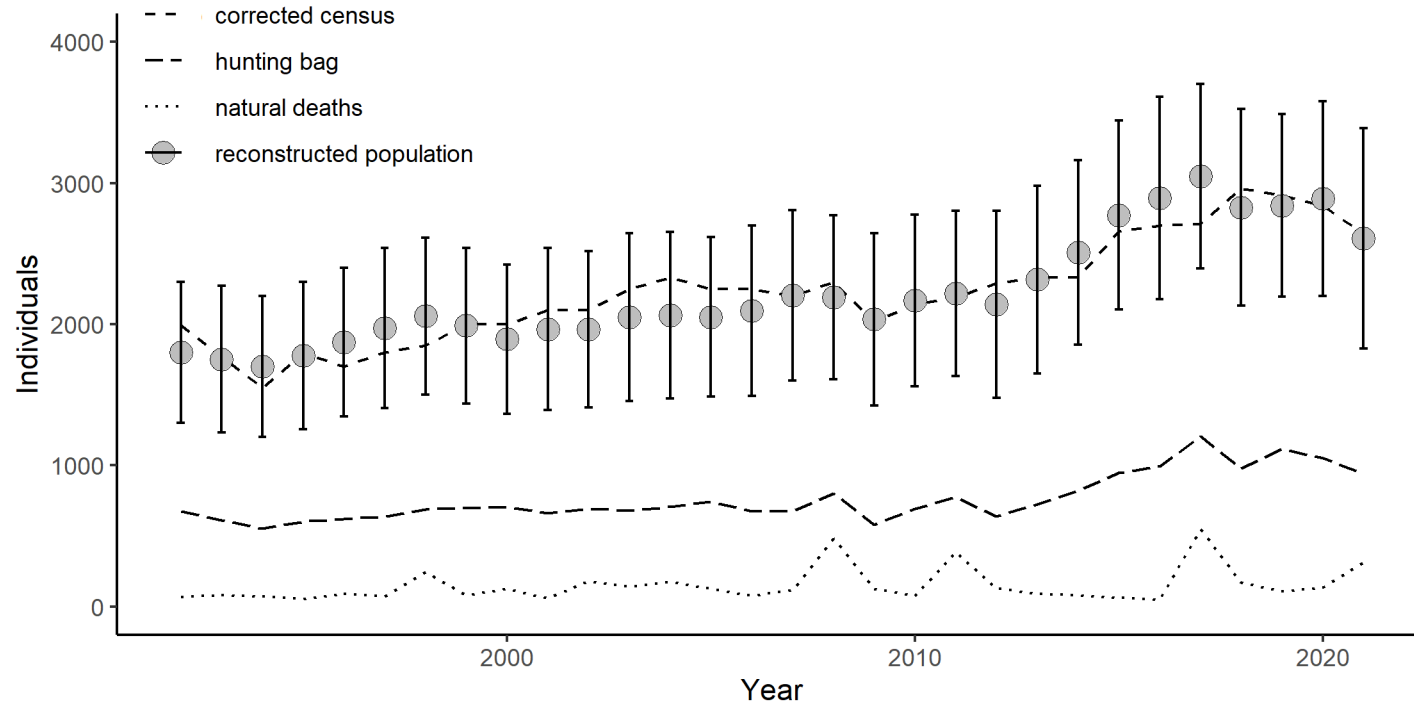
The age structure of the standing population and age-at-death distribution will only be the same if all stages have the same probability of dying!

Other approaches to describe populations

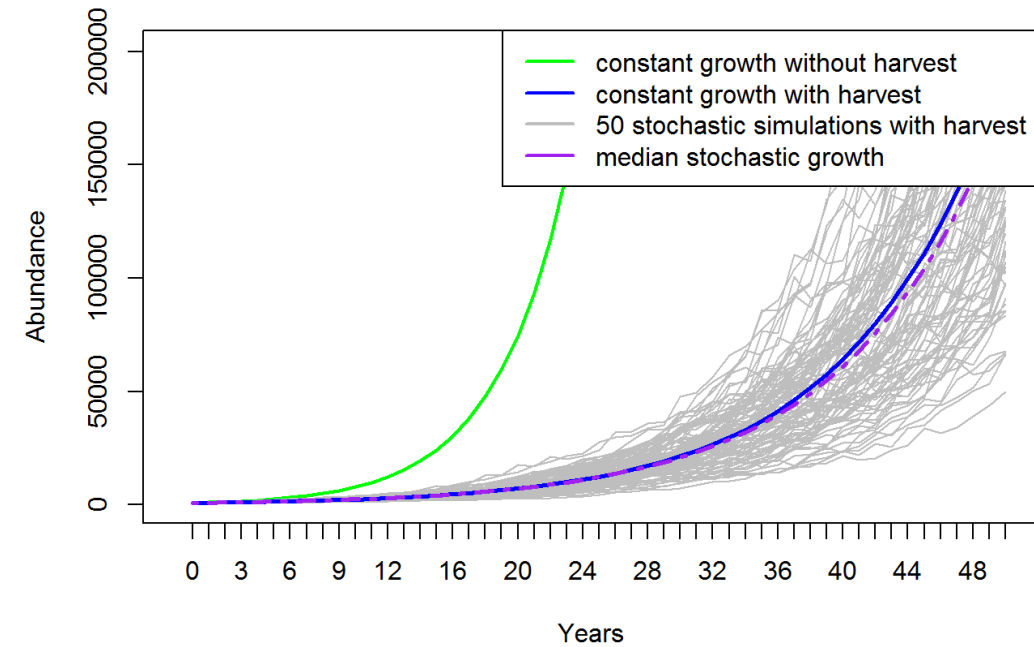
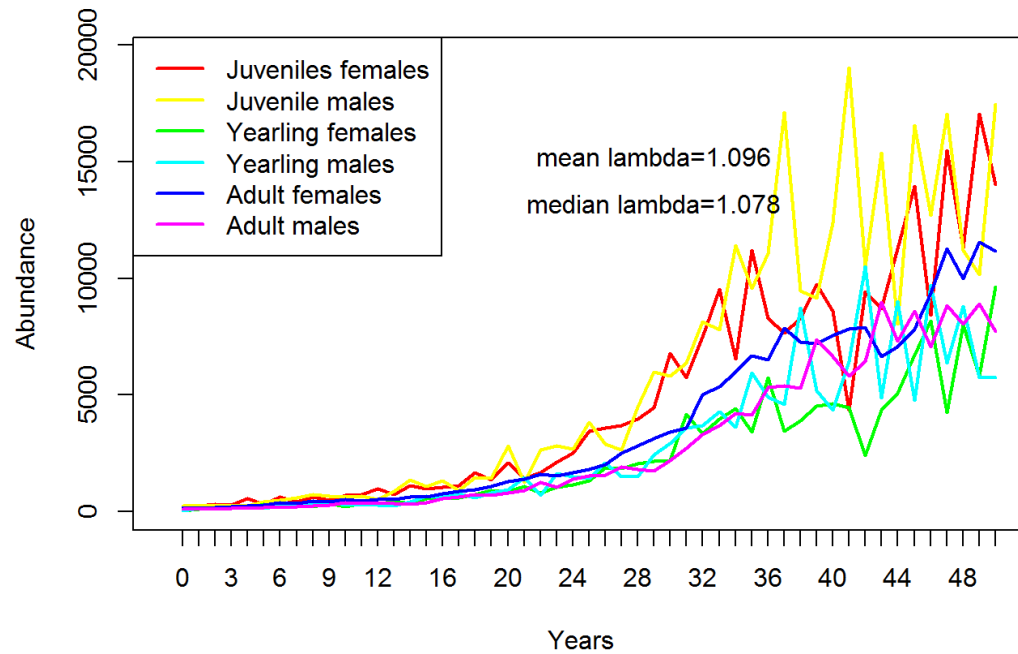
- Population reconstruction using age-at-harvest data (abundance and age structure over time)
- Matrix projection models (projection of population dynamics under different scenarios)
- Integrated population models (combined estimation of vital rates movement and population growth using different data sets)

In the end we're interested how temporal changes in vital rates affect population structure and growth, i.e. long term development of wildlife populations!

Applications for management



- Population reconstruction using age-at-harvest data (abundance and age structure over time) together with auxiliary information (e.g. survival)



- Matrix projection models (projection of population dynamics under different scenarios using estimates of survival and reproduction)